

Shareholding Pattern filed under Regulation 31(1)(b) as on 30th June 2020

1. Name of Listed Entity: **NEWGEN SOFTWARE TECHNOLOGIES LIMITED**
2. Scrip Code/Name of Scrip/Class of Security: **540900,NEWGEN,EQUITY SHARES**
3. Share Holding Pattern Filed under: **Reg.31(1)(b)**
 - a. if under 31(1)(b) then indicate the report for quarter ending 30/06/2020
4. Declaration : The Listed entity is required to submit the following declaration to the extent of submission of information:

	Particulars	YES*	NO*
a	Whether the Listed Entity has issued any partly paid up shares		NO
b	Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
c	Whether the Listed Entity has any shares against which depository receipts are issued?		NO
d	Whether the Listed Entity has any shares in locked-in?	Yes	
e	Whether any shares held by promoters are pledge or otherwise encumbered?		NO

*if the Listed Entity selectes the option 'NO' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

- 5 The tabular format for disclosure of holding of specified securities is as follows:

Table I - Summary Statement holding of specified securities

Category	Category of Shareholder	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no of shares (As a % of (A+B+CZ))	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
								No of Voting Rights		Total as a % of (A+B+C)			No.	As a % of total Shares held	No.	As a % of total Shares held		
								Class X	Class Y									Total
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)			(X)	(XI)	(XII)		(XIII)	(XIV)		
(A)	Promoter & Promoter Group	5	45978988	0	0	45978988	65.73	45978988	0	45978988	66.51	0	65.73	13847142	30.12	0	0.00	45978988
(B)	Public	23926	23152693	0	0	23152693	33.10	23152693	0	23152693	33.49	0	33.10	0	0.00	NA	NA	23123879
(C)	Non Promoter-Non Public																	
(C1)	Shares underlying DRs	0	0	0	0	0	NA	0	0	0	0.00	0	NA	0	0.00	NA	NA	0
(C2)	Shares held by Employees Trusts	1	824020	0	0	824020	1.18	0	0	0	0.00	0	1.18	0	0.00	NA	NA	824020
	Total:	23932	69955701	0	0	69955701	100.00	69131681	0	69131681	100.00	0	100.00	13847142	19.79	0	0.00	69926887

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category	Category & Name of the Shareholder	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Shareholding as a % of total no of shares (calculated as per SCRR, 1957 (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital) (VII)+(X) As a % of (A+B+C2)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
								No of Voting Rights		Total as a % of (A+B+C)			No.	As a % of total Shares held	No.	As a % of total Shares held		
								Class X	Class Y	Total								
	(I)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)			(X)	(XI)	(XII)		(XIII)	(XIV)		
(1)	Indian																	
(a)	Individuals/Hindu undivided Family	5	45978988	0	0	45978988	65.73	45978988	0	45978988	66.51	0	65.73	13847142	30.12	0	0.00	45978988
	T S VARADARAJAN	1	15009306	0	0	15009306	21.46	15009306	0	15009306	21.71	0	21.46	6923571	46.13	0	0.00	15009306
	RAGINI GOORHA	1	50	0	0	50	0.00	50	0	50	0.00	0	0.00	0	0.00	0	0.00	50
	USHA VARADARAJAN	1	4528320	0	0	4528320	6.47	4528320	0	4528320	6.55	0	6.47	0	0.00	0	0.00	4528320
	DIWAKAR NIGAM	1	18472406	0	0	18472406	26.41	18472406	0	18472406	26.72	0	26.41	6923571	37.48	0	0.00	18472406
	PRIYADARSHINI NIGAM	1	7968906	0	0	7968906	11.39	7968906	0	7968906	11.52	0	11.39	0	0.00	0	0.00	7968906
(b)	Central Government/State Government(s)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(c)	Financial Institutions/Banks	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(d)	Any Other	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Sub-Total (A)(1)	5	45978988	0	0	45978988	65.73	45978988	0	45978988	66.51	0	65.73	13847142	30.12	0	0.00	45978988
(2)	Foreign																	
(a)	Individuals (Non-Resident Individuals/Foreign Individuals)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(b)	Government	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(c)	Institutions	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(d)	Foreign Portfolio Investor	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(e)	Any Other	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Sub-Total (A)(2)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)	5	45978988	0	0	45978988	65.73	45978988	0	45978988	66.51	0	65.73	13847142	30.12	0	0.00	45978988

Table III - Statement showing shareholding pattern of the Public shareholder

Category	Category & Name of the Shareholder	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Shareholding as a % of total no of shares (A+B+C)	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
								Class X	Class Y	Total			No.	As a % of total Shares held	No.	As a % of total Shares held		
																		(X)
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)	(XIII)	(XIV)					
(1)	Institutions																	
(a)	Mutual Funds	3	2392404	0	0	2392404	3.42	2392404	0	2392404	3.46	0	3.42	0	0.00	NA	NA	2392404
	HDFC TRUSTEE COMPANY LTD A/C - HDFC CHILDREN'S GIFT FUND - INVESTMENT PLAN	1	1906800	0	0	1906800	2.73	1906800	0	1906800	2.76	0	2.73	0	0.00	NA	NA	1906800
(b)	Venture Capital Funds	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(c)	Alternate Investment Funds	2	2280659	0	0	2280659	3.26	2280659	0	2280659	3.30	0	3.26	0	0.00	NA	NA	2280659
	MALABAR VALUE FUND	1	798020	0	0	798020	1.14	798020	0	798020	1.15	0	1.14	0	0.00	NA	NA	798020
	MARATHON EDGE INDIA FUND I	1	1482639	0	0	1482639	2.12	1482639	0	1482639	2.14	0	2.12	0	0.00	NA	NA	1482639
(d)	Foreign Venture Capital Investors	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(e)	Foreign Portfolio Investors	14	10668369	0	0	10668369	15.25	10668369	0	10668369	15.43	0	15.25	0	0.00	NA	NA	10668369
	GOLDMAN SACHS INDIA LIMITED	1	2813270	0	0	2813270	4.02	2813270	0	2813270	4.07	0	4.02	0	0.00	NA	NA	2813270
	MASSACHUSETTS INSTITUTE OF TECHNOLOGY	1	1105959	0	0	1105959	1.58	1105959	0	1105959	1.60	0	1.58	0	0.00	NA	NA	1105959
	MALABAR INDIA FUND LIMITED	1	5678931	0	0	5678931	8.12	5678931	0	5678931	8.21	0	8.12	0	0.00	NA	NA	5678931
	SEXTANT AUTOUR DU MONDE	1	700000	0	0	700000	1.00	700000	0	700000	1.01	0	1.00	0	0.00	NA	NA	700000
(f)	Financial Institutions/Banks	1	13770	0	0	13770	0.02	13770	0	13770	0.02	0	0.02	0	0.00	NA	NA	13770
(g)	Insurance Companies	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(h)	Provident Funds/Pension Funds	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(i)	Any Other										0.00							
	FOREIGN CORPORATE BODIES	1	60	0	0	60	0.00	60	0	60	0.00	0	0.00	0	0.00	NA	NA	60
	Sub Total (B)(1)	21	15355262	0	0	15355262	21.95	15355262	0	15355262	22.21	0	21.95	0	0.00	NA	NA	15355262
(2)	Central Government/State Government(s)/President of India	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
	Sub Total (B)(2)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(3)	Non-Institutions																	
(a)	i. Individual shareholders holding nominal share capital up to Rs.2 lakhs	22323	3970465	0	0	3970465	5.68	3970465	0	3970465	5.74	0	5.68	0	0.00	NA	NA	3963251
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 Lakhs	53	3000886	0	0	3000886	4.29	3000886	0	3000886	4.34	0	4.29	0	0.00	NA	NA	3000886
(b)	NBFCs Registered with RBI	1	35000	0	0	35000	0.05	35000	0	35000	0.05	0	0.05	0	0.00	NA	NA	35000
(c)	Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(d)	Overseas Depositories (Holding DRs)(Balancing figure)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(e)	Any Other																	
	NON RESIDENT INDIANS	277	258152	0	0	258152	0.37	258152	0	258152	0.37	0	0.37	0	0.00	NA	NA	236552
	CLEARING MEMBERS	62	44882	0	0	44882	0.06	44882	0	44882	0.06	0	0.06	0	0.00	NA	NA	44882
	NON RESIDENT INDIAN NON REPATRIABLE	106	195387	0	0	195387	0.28	195387	0	195387	0.28	0	0.28	0	0.00	NA	NA	195387
	BODIES CORPORATES	90	188614	0	0	188614	0.27	188614	0	188614	0.27	0	0.27	0	0.00	NA	NA	188614
	H U F	993	104045	0	0	104045	0.15	104045	0	104045	0.15	0	0.15	0	0.00	NA	NA	104045
	Sub Total (B)(3)	23905	7797431	0	0	7797431	11.15	7797431	0	7797431	11.28	0	11.15	0	0.00			7768617
	Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)	23926	23152693	0	0	23152693	33.10	23152693	0	23152693	33.49	0	33.10	0	0.00			23123879

Table IV - Statement showing shareholding pattern of the Non Promoter - Non Public Shareholder

Category	Category & Name of the Shareholder	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Shareholding as a % of total no of shares (A+B+C2)	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
								No of Voting Rights					Total as a % of (A+B+C)	No.	As a % of total Shares held	No.		As a % of total Shares held
								Class X	Class Y	Total								
	(I)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)			(X)	(XI)	(XII)		(XIII)	(XIV)		
(1)	Custodian/DR Holder	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(2)	Employee Benefit Trust (under SEBI(Share based Employee Benefit) Regulations 2014)	1	824020	0	0	824020	1.18	0	0	0	0.00	0	1.18	0	0.00	NA	NA	824020
	AMARENDRA KISHORE SHARAN	1	824020	0	0	824020	1.18	0	0	0	0.00	0	1.18	0	0.00	NA	NA	824020
	Total Non-Promoter-Non Public Shareholding (C) = [C](1)+[C](2)	1	824020	0	0	824020	1.18	0	0	0	0.00	0	1.18	0	0.00			824020

Details of the shareholders acting as persons in Concert including their Shareholding:			
Name of Shareholder	Name of PAC	No of shares	Holding%
Total:		0	0

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders,	
No of Shareholders	No of shares