

**Shareholding Pattern filed under Regulation 31(1)(b) as on 30th September 2020**

1. Name of Listed Entity: **NEWGEN SOFTWARE TECHNOLOGIES LIMITED**
2. Scrip Code/Name of Scrip/Class of Security: **540900,NEWGEN,EQUITY SHARES**
3. Share Holding Pattern Filed under: **Reg.31(1)(b)**
  - a. if under 31(1)(b) then indicate the report for quarter ending 30/09/2020
  - b. if under 31(1)(c) then indicate date of allotment/extinguishment

4. Declaration : The Listed entity is required to submit the following declaration to the extent of submission of information:

	Particulars	YES*	NO*
a	Whether the Listed Entity has issued any partly paid up shares		NO
b	Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
c	Whether the Listed Entity has any shares against which depository receipts are issued?		NO
d	Whether the Listed Entity has any shares in locked-in?	YES	
e	Whether any shares held by promoters are pledge or otherwise encumbered?		NO

\*if the Listed Entity selectes the option 'NO' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5 The tabular format for disclosure of holding of specified securities is as follows:

Table I - Summary Statement holding of specified securities

Category	Category of Shareholder	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no of shares (As a % of (A+B+C2))	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
								No of Voting Rights					Total as a % of (A+B+C)	No.	As a % of total Shares held	No.		As a % of total Shares held
								Class X	Class Y	Total								
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii)	(viii)	(ix)			(x)	(xi)	(xii)		(xiii)	(xiv)		
(A)	Promoter & Promoter Group	5	45978988	0	0	45978988	65.73	45978988	0	45978988	65.73	0	65.73	13847142	30.12	0	0.00	45978988
(B)	Public	24909	23195207	0	0	23195207	33.16	23195207	0	23195207	33.16	0	33.16	0	0.00	NA	NA	23166393
(C)	Non Promoter-Non Public																	
(C1)	Shares underlying DRs	0	0	0	0	0	NA	0	0	0	0.00	0	NA	0	0.00	NA	NA	0
(C2)	Shares held by Employes Trusts	1	781506	0	0	781506	1.12	781506	0	781506	1.12	0	1.12	0	0.00	NA	NA	781506
<b>Total:</b>		<b>24915</b>	<b>69955701</b>	<b>0</b>	<b>0</b>	<b>69955701</b>	<b>100.00</b>	<b>69955701</b>	<b>0</b>	<b>69955701</b>	<b>100.00</b>	<b>0</b>	<b>100.00</b>	<b>13847142</b>	<b>19.79</b>	<b>0</b>	<b>0.00</b>	<b>69926887</b>

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category	Category & Name of the Shareholder	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Shareholding as a % of total no of shares (calculated as per SCRR, 1957 (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital) (VII)+(X) As a % of (A+B+C2)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
								No of Voting Rights					Total as a % of (A+B+C)	No.	As a % of total Shares held	No.		As a % of total Shares held
								Class X	Class Y	Total (X)								
	(I)	(II)	(IV)	(V)	(VI)	(VII)	(VIII)	(X)	(XI)	(XII)	(XIII)	(XIV)						
(1)	Indian																	
(a)	Individuals/Hindu undivided Family	5	45978988	0	0	45978988	65.73	45978988	0	45978988	65.73	0	65.73	13847142	30.12	0	0.00	45978988
	T S VARADARAJAN	1	15009306	0	0	15009306	21.46	15009306	0	15009306	21.46	0	21.46	6923571	46.13	0	0.00	15009306
	RAGINI GOORHA	1	50	0	0	50	0.00	50	0	50	0.00	0	0.00	0	0.00	0	0.00	50
	USHA VARADARAJAN	1	4528320	0	0	4528320	6.47	4528320	0	4528320	6.47	0	6.47	0	0.00	0	0.00	4528320
	DIWAKAR NIGAM	1	18472406	0	0	18472406	26.41	18472406	0	18472406	26.41	0	26.41	6923571	37.48	0	0.00	18472406
	PRIVADARSHINI NIGAM	1	7968906	0	0	7968906	11.39	7968906	0	7968906	11.39	0	11.39	0	0.00	0	0.00	7968906
(b)	Central Government/State Government(s)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(c)	Financial Institutions/Banks	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(d)	Any Other	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	<b>Sub-Total (A)(1)</b>	<b>5</b>	<b>45978988</b>	<b>0</b>	<b>0</b>	<b>45978988</b>	<b>65.73</b>	<b>45978988</b>	<b>0</b>	<b>45978988</b>	<b>65.73</b>	<b>0</b>	<b>65.73</b>	<b>13847142</b>	<b>30.12</b>	<b>0</b>	<b>0.00</b>	<b>45978988</b>
(2)	Foreign																	
(a)	Individuals (Non-Resident Individuals/Foreign Individual	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(b)	Government	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(c)	Institutions	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(d)	Foreign Portfolio Investor	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(e)	Any Other	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	<b>Sub-Total (A)(2)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>
	<b>Total Shareholding of Promoter and Promoter Group (A)</b>	<b>5</b>	<b>45978988</b>	<b>0</b>	<b>0</b>	<b>45978988</b>	<b>65.73</b>	<b>45978988</b>	<b>0</b>	<b>45978988</b>	<b>65.73</b>	<b>0</b>	<b>65.73</b>	<b>13847142</b>	<b>30.12</b>	<b>0</b>	<b>0.00</b>	<b>45978988</b>

Table III - Statement showing shareholding pattern of the Public shareholder

Category	Category & Name of the Shareholder	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Shareholding as a % of total no of shares (A+B+C2)	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
								Class X	Class Y	Total			Total as a % of (A+B+C)	No.	As a % of total Shares held	No.		As a % of total Shares held
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)	(XIII)	(XIV)					
(1)	Institutions																	
(a)	Mutual Funds	3	2586197	0	0	2586197	3.70	2586197	0	2586197	3.70	0	0.00	NA	NA		2586197	
	HDFC TRUSTEE COMPANY LTD A/C - HDFC CHILDREN'S C	1	2170531	0	0	2170531	3.10	2170531	0	2170531	3.10	0	0.00	NA	NA		2170531	
(b)	Venture Capital Funds	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA	NA		0	
(c)	Alternate Investment Funds	2	2249405	0	0	2249405	3.22	2249405	0	2249405	3.22	0	0.00	NA	NA		2249405	
	MALABAR VALUJE FUND	1	766766	0	0	766766	1.10	766766	0	766766	1.10	0	0.00	NA	NA		766766	
	MARATHON EDGE INDIA FUND I	1	1482639	0	0	1482639	2.12	1482639	0	1482639	2.12	0	0.00	NA	NA		1482639	
(d)	Foreign Venture Capital Investors	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA	NA		0	
(e)	Foreign Portfolio Investors	10	10372814	0	0	10372814	14.83	10372814	0	10372814	14.83	0	0.00	NA	NA		10372814	
	GOLDMAN SACHS INDIA LIMITED	1	2737136	0	0	2737136	3.91	2737136	0	2737136	3.91	0	0.00	NA	NA		2737136	
	MASSACHUSETTS INSTITUTE OF TECHNOLOGY	1	1128403	0	0	1128403	1.61	1128403	0	1128403	1.61	0	0.00	NA	NA		1128403	
	MALABAR INDIA FUND LIMITED	1	5473655	0	0	5473655	7.82	5473655	0	5473655	7.82	0	0.00	NA	NA		5473655	
(f)	Financial Institutions/Banks	1	7	0	0	7	0.00	7	0	7	0.00	0	0.00	NA	NA		7	
(g)	Insurance Companies	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA	NA		0	
(h)	Provident Funds/Pension Funds	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA	NA		0	
(i)	Any Other																	
	FOREIGN CORPORATE BODIES	1	60	0	0	60	0.00	60	0	60	0.00	0	0.00	NA	NA		60	
	<b>Sub Total (B)(1)</b>	<b>17</b>	<b>15208483</b>	<b>0</b>	<b>0</b>	<b>15208483</b>	<b>21.74</b>	<b>15208483</b>	<b>0</b>	<b>15208483</b>	<b>21.74</b>	<b>0</b>	<b>0.00</b>	<b>NA</b>	<b>NA</b>		<b>15208483</b>	
(2)	Central Government/State Government(s)/President of	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA	NA		0	
	<b>Sub Total (B)(2)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>NA</b>	<b>NA</b>		<b>0</b>	
(3)	Non-Institutions																	
(a)	i. Individual shareholders holding nominal share capital	23314	4157521	0	0	4157521	5.94	4157521	0	4157521	5.94	0	0.00	NA	NA		4150307	
	ii. Individual shareholders holding nominal share capital	53	2987157	0	0	2987157	4.27	2987157	0	2987157	4.27	0	0.00	NA	NA		2987157	
(b)	NBFCs Registered with RBI	1	35000	0	0	35000	0.05	35000	0	35000	0.05	0	0.00	NA	NA		35000	
(c)	Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA	NA		0	
(d)	Overseas Depositories (Holding DRs)(Balancing figure)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA	NA		0	
(e)	Any Other																	
	NON RESIDENT INDIANS	286	277652	0	0	277652	0.40	277652	0	277652	0.40	0	0.00	NA	NA		256052	
	CLEARING MEMBERS	57	27417	0	0	27417	0.04	27417	0	27417	0.04	0	0.00	NA	NA		27417	
	NON RESIDENT INDIAN NON REPATRIABLE	115	207212	0	0	207212	0.30	207212	0	207212	0.30	0	0.00	NA	NA		207212	
	BODIES CORPORATES	56	166475	0	0	166475	0.24	166475	0	166475	0.24	0	0.00	NA	NA		166475	
	H U F	1010	128290	0	0	128290	0.18	128290	0	128290	0.18	0	0.00	NA	NA		128290	
	<b>Sub Total (B)(3)</b>	<b>24892</b>	<b>7986724</b>	<b>0</b>	<b>0</b>	<b>7986724</b>	<b>11.42</b>	<b>7986724</b>	<b>0</b>	<b>7986724</b>	<b>11.42</b>	<b>0</b>	<b>0.00</b>	<b>NA</b>	<b>NA</b>		<b>7957910</b>	
	<b>Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)</b>	<b>24909</b>	<b>23195207</b>	<b>0</b>	<b>0</b>	<b>23195207</b>	<b>33.16</b>	<b>23195207</b>	<b>0</b>	<b>23195207</b>	<b>33.16</b>	<b>0</b>	<b>0.00</b>	<b>NA</b>	<b>NA</b>		<b>23166393</b>	

Table IV - Statement showing shareholding pattern of the Non Promoter - Non Public Shareholder

Category	Category & Name of the Shareholder	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Shareholding as a % of total no of shares (A+B+C2)	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
								No of Voting Rights		Total as a % of (A+B+C)			No.	As a % of total Shares held	No.	As a % of total Shares held		
								Class X	Class Y									Total
	(I)	(II)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)			(X)	(XI)	(XII)		(XIII)	(XIV)		
(1)	Custodian/DR Holder	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA	NA		0	
(2)	Employee Benefit Trust (under SEBI(Share based Emplo	1	781506	0	0	781506	1.12	781506	0	781506	1.12	0	1.12	0	0.00	NA	NA	781506
	AMARENDRA KISHORE SHARAN	1	781506	0	0	781506	1.12	781506	0	781506	1.12	0	1.12	0	0.00	NA	NA	781506
	<b>Total Non-Promoter-Non Public Shareholding (C) = (C)</b>	<b>1</b>	<b>781506</b>	<b>0</b>	<b>0</b>	<b>781506</b>	<b>1.12</b>	<b>781506</b>	<b>0</b>	<b>781506</b>	<b>1.12</b>	<b>0</b>	<b>1.12</b>	<b>0</b>	<b>0.00</b>			<b>781506</b>

<b>Details of the shareholders acting as persons in Concert including their Shareholding:</b>			
Name of Shareholder	Name of PAC	No of shares	Holding%
<b>Total:</b>		<b>0</b>	<b>0</b>

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders,	
No of Shareholders	No of shares