

Shareholding Pattern filed under Regulation 31(1)(b) as on 31st December 2020

1. Name of Listed Entity: **NEWGEN SOFTWARE TECHNOLOGIES LIMITED**
2. Scrip Code/Name of Scrip/Class of Security: **540900,NEWGEN,EQUITY SHARES**
3. Share Holding Pattern Filed under: **Reg.31(1)(b)**
 - a. if under 31(1)(b) then indicate the report for quarter ending 31/12/2020
 - b. if under 31(1)(c) then indicate date of allotment/extinguishment

4. Declaration : The Listed entity is required to submit the following declaration to the extent of submission of information:

	Particulars	YES*	NO*
a	Whether the Listed Entity has issued any partly paid up shares		NO
b	Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
c	Whether the Listed Entity has any shares against which depository receipts are issued?		NO
d	Whether the Listed Entity has any shares in locked-in?	YES	
e	Whether any shares held by promoters are pledge or otherwise encumbered?		NO

*if the Listed Entity selectes the option 'NO' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5 The tabular format for disclosure of holding of specified securities is as follows:

Table 1 - Summary Statement holding of specified securities

Category	Category of Shareholder	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares underlying Depository Receipts	Total No of Shares held (V) = (V)+ (V)+ (V)	Shareholding as a % of total no of shares (As a % of (A+B+C2))	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
								No of Voting Rights		Total as a % of (A+B+C)			No.	As a % of total Shares held	No.	As a % of total Shares held		
								Class X	Class Y									Total
(A)	Promoter & Promoter Group	5	45978988	0	0	45978988	65.73	45978988	0	45978988	65.73	13847142	30.12	0	0.00	45978988		
(B)	Public	25230	23299804	0	0	23299804	33.31	23299804	0	23299804	33.31	0	0.00	NA	NA	23270990		
(C)	Non Promoter Non Public	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA	NA	0		
(C1)	Shares underlying DRs	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA	NA	0		
(C2)	Shares held by Employees Trusts	0	676909	0	0	676909	0.97	0	0	0	0.00	0	0.00	NA	NA	676909		
	Total:	35236	69957091	0	0	69957091	100.00	69278792	0	69278792	99.03	0	100.00	13847142	19.79	0	0.00	69926487

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category	Category & Name of the Shareholder	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Shareholding as a % of total no of shares (calculated as per SCRR, 1957 (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital) (VII)+(X) As a % of (A+B+C2)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
								No of Voting Rights					Total as a % of (A+B+C)	No.	As a % of total Shares held	No.		As a % of total Shares held
								Class X	Class Y	Total (X)								
	(I)	(II)	(IV)	(V)	(VI)	(VII)	(VIII)	(X)	(XI)	(XII)	(XIII)	(XIV)						
(1)	Indian																	
(a)	Individuals/Hindu undivided Family	5	45978988	0	0	45978988	65.73	45978988	0	45978988	65.73	0	65.73	13847142	30.12	0	0.00	45978988
	T S VARADARAJAN	1	15009306	0	0	15009306	21.46	15009306	0	15009306	21.46	0	21.46	6923571	46.13	0	0.00	15009306
	RAGINI GOORHA	1	50	0	0	50	0.00	50	0	50	0.00	0	0.00	0	0.00	0	0.00	50
	USHA VARADARAJAN	1	4528320	0	0	4528320	6.47	4528320	0	4528320	6.47	0	6.47	0	0.00	0	0.00	4528320
	DIWAKAR NIGAM	1	18472406	0	0	18472406	26.41	18472406	0	18472406	26.41	0	26.41	6923571	37.48	0	0.00	18472406
	PRIVADARSHINI NIGAM	1	7968906	0	0	7968906	11.39	7968906	0	7968906	11.39	0	11.39	0	0.00	0	0.00	7968906
(b)	Central Government/State Government(s)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(c)	Financial Institutions/Banks	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(d)	Any Other	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Sub-Total (A)(1)	5	45978988	0	0	45978988	65.73	45978988	0	45978988	65.73	0	65.73	13847142	30.12	0	0.00	45978988
(2)	Foreign																	
(a)	Individuals (Non-Resident Individuals/Foreign Individual	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(b)	Government	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(c)	Institutions	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(d)	Foreign Portfolio Investor	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(e)	Any Other	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Sub-Total (A)(2)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Total Shareholding of Promoter and Promoter Group (A)	5	45978988	0	0	45978988	65.73	45978988	0	45978988	65.73	0	65.73	13847142	30.12	0	0.00	45978988

Table III - Statement showing shareholding pattern of the Public shareholder

Category	Category & Name of the Shareholder	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Shareholding as a % of total no of shares (A+B+C2)	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
								No of Voting Rights					Total as a % of (A+B+C)	No.	As a % of total Shares held	No.		As a % of total Shares held
								Class X	Class Y	Total								
	(I)	(II)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)			(X)	(XI)	(XII)		(XIII)	(XIV)		
(1)	Institutions																	
(a)	Mutual Funds	3	2651101	0	0	2651101	3.79	2651101	0	2651101	3.79	0	3.79	0	0.00	NA	NA	2651101
	HDFC TRUSTEE COMPANY LTD A/C - HDFC CHILDREN'S C	1	2244497	0	0	2244497	3.21	2244497	0	2244497	3.21	0	3.21	0	0.00	NA	NA	2244497
(b)	Venture Capital Funds	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(c)	Alternate Investment Funds	4	2244427	0	0	2244427	3.21	2244427	0	2244427	3.21	0	3.21	0	0.00	NA	NA	2244427
	MALABAR VALUJE FUND	1	723496	0	0	723496	1.03	723496	0	723496	1.03	0	1.03	0	0.00	NA	NA	723496
	MARATHON EDGE INDIA FUND I	1	1482639	0	0	1482639	2.12	1482639	0	1482639	2.12	0	2.12	0	0.00	NA	NA	1482639
(d)	Foreign Venture Capital Investors	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(e)	Foreign Portfolio Investors	32	10356150	0	0	10356150	14.80	10356150	0	10356150	14.80	0	14.80	0	0.00	NA	NA	10356150
	GOLDMAN SACHS INDIA LIMITED	1	2678786	0	0	2678786	3.83	2678786	0	2678786	3.83	0	3.83	0	0.00	NA	NA	2678786
	MASSACHUSETTS INSTITUTE OF TECHNOLOGY	1	1128403	0	0	1128403	1.61	1128403	0	1128403	1.61	0	1.61	0	0.00	NA	NA	1128403
	MALABAR INDIA FUND LIMITED	1	5202882	0	0	5202882	7.44	5202882	0	5202882	7.44	0	7.44	0	0.00	NA	NA	5202882
(f)	Financial Institutions/Banks	1	7	0	0	7	0.00	7	0	7	0.00	0	0.00	0	0.00	NA	NA	7
(g)	Insurance Companies	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(h)	Provident Funds/Pension Funds	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(i)	Any Other																	
	FOREIGN CORPORATE BODIES	1	60	0	0	60	0.00	60	0	60	0.00	0	0.00	0	0.00	NA	NA	60
	Sub Total (B)(1)	41	15251745	0	0	15251745	21.80	15251745	0	15251745	21.80	0	21.80	0	0.00	NA	NA	15251745
(2)	Central Government/State Government(s)/President of	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
	Sub Total (B)(2)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(3)	Non-Institutions																	
(a)	i. Individual shareholders holding nominal share capital	23588	4106493	0	0	4106493	5.87	4106493	0	4106493	5.87	0	5.87	0	0.00	NA	NA	4099279
	ii. Individual shareholders holding nominal share capital	51	2749578	0	0	2749578	3.93	2749578	0	2749578	3.93	0	3.93	0	0.00	NA	NA	2749578
(b)	NBFCs Registered with RBI	1	35000	0	0	35000	0.05	35000	0	35000	0.05	0	0.05	0	0.00	NA	NA	35000
(c)	Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(d)	Overseas Depositories (Holding DRs)(Balancing figure)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(e)	Any Other																	
	NON RESIDENT INDIANS	441	817039	0	0	817039	1.17	817039	0	817039	1.17	0	1.17	0	0.00	NA	NA	795439
	CLEARING MEMBERS	69	54491	0	0	54491	0.08	54491	0	54491	0.08	0	0.08	0	0.00	NA	NA	54491
	BODIES CORPORATES	64	144534	0	0	144534	0.21	144534	0	144534	0.21	0	0.21	0	0.00	NA	NA	144534
	H U F	975	140924	0	0	140924	0.20	140924	0	140924	0.20	0	0.20	0	0.00	NA	NA	140924
	Sub Total (B)(3)	25189	8048059	0	0	8048059	11.50	8048059	0	8048059	11.50	0	11.50	0	0.00	NA	NA	8019245
	Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)	25230	23299804	0	0	23299804	33.31	23299804	0	23299804	33.31	0	33.31	0	0.00	NA	NA	23270990

Table IV - Statement showing shareholding pattern of the Non Promoter - Non Public Shareholder

Category	Category & Name of the Shareholder	PAN	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Shareholding as a % of total no of shares (A+B+C2)	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
									No of Voting Rights		Total as a % of (A+B+C)			No.	As a % of total Shares held	No.	As a % of total Shares held		
									Class X	Class Y									Total
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)			(X)	(XI)	(XII)		(XIII)	(XIV)		
(1)	Custodian/DR Holder		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(2)	Employee Benefit Trust (under SEBI(Share based Employee Benefit) Res		1	676909	0	0	676909	0.97	0	0	0	0.00	0	0.97	0	0.00	NA	NA	676909
	Total Non-Promoter-Non Public Shareholding (C) = (C)(1)+(C)(2)		1	676909	0	0	676909	0.97	0	0	0	0.00	0	0.97	0	0.00			676909

Details of the shareholders acting as persons in Concert including their Shareholding:			
Name of Shareholder	Name of PAC	No of shares	Holding%
Total:		0	0

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders,	
No of Shareholders	No of shares